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United Nations Development Programme

GCF Readiness Programme Support/ Initiation Plan

Project title: Green Climate Fund Readiness and Preparatory Support - Republic of Congo	
Country: Republic of Congo	
Management Arrangements : Direct Implementation Modality (DIM)	
UNDAF/Country Programme Outcome: By 2018, institutions and populations, including women apply management policies for a sustainable environment integrating adaptation and mitigation to climate change.	
UNDP Strategic Plan Output: <u>Output 1.4:</u> Scaled up action on climate change adaptation and mitigation cross sectors which is funded and implemented.	
UNDP Gender Marker: 2	
Atlas Award ID number: 00045154	Atlas Output ID number: 00086986
UNDP-GEF PIMS ID number: 5986	
Planned start date: 1 November, 2016	Planned end date: 30 April, 2018
Brief project description: The National Designated Authority/Focal Point of Republic of Congo to the Green Climate Fund (GCF) has requested UNDP to serve as delivery partner of <i>readiness and preparatory support</i> provided by the GCF. The objective of such support is to strengthen the NDA's capacity to engage with GCF and effectively access finance to respond to national climate change and development priorities, in a strategic, coordinated, and participatory manner. This support will be implemented under Direct Implementation Modality at the country level, and will achieve the following GCF Readiness Outcomes, as articulated in Annex II: "Revised Country Readiness Logical Framework" of this document: 1) Country capacity strengthened; and 2) Stakeholders engaged in consultative processes; 3) Access to finance; and 4) Private sector mobilization.	
FINANCING PLAN	
GCF grant	USD 300,000
Total Budget administered by UNDP	USD 300,000

Table of Contents

Annex I: Original Readiness and Preparatory Support Proposal, as approved by the Green Climate Fund

Annex II: Revised Country Readiness Logical Framework

Annex III: Total Budget and Work Plan



ANNEX I: Original Readiness and Preparatory Support Proposal, as approved by the Green Climate Fund

(Please submit completed form to countries@gcfund.org)

Executive Summary <i>(in one page)</i>	
Country (or region)	Republic of Congo
Submission Date	10/05/2016
NDA or Focal Point	Mr Vidalie Jean Frédérique ANDEA (Focal Point), + 242 05 527 62 20/06 660 92 02 Chef de Service des Etudes à la Direction des Etudes et de la Planification (DEP) Ministère du Tourisme et de l'Environnement Email : andeavidalie@gmail.com
Contact Point <i>(both NDA/FP and delivery partner)</i>	Country name: REPUBLIC OF CONGO Name of institution (representing National Designated Authority or Focal Point): MINISTRY OF FOREST ECONOMY, SUSTAINABLE DEVELOPMENT AND ENVIRONMENT Name of official: Mrs. Rosalie MATONDO Position: Minister of Forest Economy, Sustainable Development and Environment Name of Focal Point : Mr Vidalie Jean Frédérique ANDEA Delivery Partner: PROGRAMME DES NATIONS UNIES POUR LE DEVELOPPEMENT (PNUD) Name: Position: Email: Tel: (242) 02 28 15763/06 660 85 76 Telsat: (31) 20 5407183/184 Full Office address: Avenue Foch/ Behgale B.P: 465
Readiness Area/s	<ol style="list-style-type: none"> 1. Establishing and strengthening National Designated Authorities (NDAs) or Focal Points 2. Strategic frameworks for engagement with the Fund, including the preparation of country programmes 3. Selection of implementing entities or intermediaries, and support for accreditation 4. Initial pipelines of programme and project proposals
Request Summary <i>(in 200 words)</i>	The Republic of Congo (Congo) signed the United Nations Framework Convention on Climate Change (UNFCCC) in June 1996 and its Kyoto Protocol in 2006. Since then, the country has been grappling with integrating climate change issues into its development strategies, including the ever-increasing needs related to its obligation for implementing the UNFCCC initiatives (e.g. REDD+, NAMAs, CDM, INDCs etc.) and all the requirement for participatory approaches and country ownership that are associated with each of these initiatives. At the same time, Congo lacks the technical capacity and financial resources to overcome and cope with the anticipated changes associated with its vulnerability to climate change impacts while effectively harnessing its mitigation potential. The Green Climate Fund (GCF) Readiness Programme activities will contribute to strengthening Congo's technical and coordination capacity, with a view to enhancing its access to adequate climate financing to address these challenges. The initial phase of the Readiness Programme will focus on (i) the strengthening of the Focal Point and of the NDA (once nominated) (activity 1), and (ii) the development of strategic frameworks for engagement with the Fund, including the preparation of a country programme (activity 2). As a result of these activities, it is expected that an appropriate NDA is identified, and its institutional capacities are built to effectively fulfil its roles and responsibilities in relation to the Fund. The programme will also facilitate the development, through a stakeholder engagement process, of a country programme, which will include adaptation and mitigation programming priorities.
Anticipated Duration	10/05/2016 – 10/05/2018 (24 months)

Estimated total cost

Up to US\$ 300,000

SECTION A: RATIONALE FOR REQUEST

A.1

Background

Since the signing of the UNFCCC in 1996 and its Kyoto Protocol in 2006, the Congo submitted its Initial and Second National Communications to the UNFCCC Secretariat in 2001 and 2009 respectively. The country also prepared an initial National Strategy and the UNFCCC implementation action plan in 2002. It also carried out a Technology Needs Assessment in 2004.

With regard to progress in developing an institutional and regulatory framework, the Republic of the Congo enacted an environmental law in 1991 (being revised), which provides guiding principles for dealing with climate change issues. It established by decree the National Committee on Climate Change (Decree No. 2010-729 of 30 November 2010) and the Designated National Authority (DNA) of the Clean Development Mechanism (CDM) (decree No. 2012-397 of 23 April 2012). It has also developed a National Development Plan (NDP), which recognizes the principles of integration of environmental concerns, sustainable development and climate change in the operational activities of its implementation.

The Republic of Congo has never received any climate finance simply because the country never submitted projects or submitted them too late. This highlights the current lack of capacity to submit projects for financing.

Previous engagement with the GCF:

The Republic of the Congo's engagement with the GCF only started recently with the appointment of a Focal Point in April 2015. Ever since, a number of communications (emails and phone calls) took place between the GCF staff and the Focal Point through which the country gained an overview of the working of the GCF, its objectives, readiness programme and the accreditation process. A delegation from Congo also participated in the 2015 Africa-Middle East workshop organised by the GCF in Alexandria (Egypt) from 6-8 September 2015.

Related climate finance initiatives and activities:

Congo has accessed climate finance through the following international funds:

- 1st and 2nd National Communication in climate change with UNDP as implementing agency. The process for developing the 3rd National Communication is on going;
- National strategy and action plan in biodiversity with also UNDP as implementing agency

The FP (and the NDA once established) will determine how to avoid duplications, if any, and encourage synergies between the support of GCF and other partners' efforts.

A.2

Justification for request

As indicated in section A.1. above, the Republic of the Congo has taken a number of actions in implementing its obligations under the Convention, including the establishment of institutional and regulatory framework.

Due to the lack of technical capacity and financial resources, the Republic of Congo is already grappling with all the requirements for participatory management and country ownership that are associated with the various UNFCCC-related initiatives such as REDD +, NAMAs, the DNA of the CDM, INDCs etc. For the implementation of INDCs Congo, some additional funding is necessary, required and, therefore, the country wants to strengthen its capacity to mobilize GCF funding.

Also, it lags behind in terms of implementing the various strategic documents produced within the UNFCCC context mainly because of a limited coordination capacity of various stakeholders involved in order to foster great ownership.

As the Focal Point was only appointed a couple of months ago, he has to go through a steep learning curve in order to effectively fulfil the roles and responsibilities of being an interface between the country and the GCF, which is also a relatively new institution.

The GCF readiness support will also allow the country to overcome and cope with the anticipated challenges associated with climate change, effectively coordinating the various stakeholders in order to develop a country programme. The readiness support will enable the country to access technical support to take advantage of, and learn from, some of the tools and best practices developed by the Fund (such as the recently developed *initial best practices options for country coordination and multi-stakeholder engagement*).

The Republic of the Congo therefore seeks readiness support for activity 1 (strengthening its Focal Point) and activity 2 (developing a framework of engagement of the Fund) in order to strengthen the capacity of its Focal Point (and NDA when nominated) and help in identifying a suitable institutional arrangement for engaging with the Fund, as well as developing a country programme, which will include adaptation and mitigation programming priorities.

SECTION B: SCOPE OF WORK

B.1

Description of activities (*Please provide detailed logical framework as an annex. See Annex I for content to build from*).

The initial phase of the Readiness and Preparatory Support Programme will focus on the standardised readiness package for (i) the strengthening of Focal Point (and NDFA once it is nominated), and (ii) the development of strategic frameworks for engagement with the Fund, including the preparation of a country programme (activity 2). These activities are described below, with an initial logical framework included as Annex I.

The readiness programme will be launched with a national workshop that will help to:

1. Raise awareness about the GCF and identify an appropriate institutional arrangement that can facilitate collaboration between the country and the Fund;
2. Identify adaptation and mitigation priorities of the Government and stakeholders, including projects and/or priority programmes, which could be financed by the Fund;
3. Identify relevant regional and/or international bodies already accredited by the Fund that can support the country to develop a priority project/programme for submission to the Fund by the end of the year; and
4. Identify national, regional and/or international entities positioned for accreditation by the Fund, with the aim of having at least one of them presenting an application for accreditation by the end of the year.

Activity 1: Strengthening the NDA

Recruiting national and international experts (with good command of English language) as required to provide technical and management assistance to the Focal Point (FP) or the NDA, once nominated, in order to:

1. Identify the appropriate institutional structure that will support collaboration between the Government and the GCF, and facilitate nomination of the NDA;
2. Strengthen the knowledge and capacities of the FP/NDA coordination team to fulfil its roles and responsibilities;
3. Coordinate and liaise with other ministries, relevant departments and agencies on key issues relating to the GCF;
4. Define and operationalize a no objection procedure specific to the Fund; and
5. Build the capacity of the FP/NDA to engage stakeholders and organising multi-party consultations.

The Focal Point may also add, within the US\$300,000 resource envelop, other activities in accordance with the Fund's defined scope of work in the Standardised Package for NDA or Focal Point Strengthening, available at:

http://www.gcfund.org/fileadmin/00_customer/documents/Operations/Scope_of_Work_Package_1_NDA_Strngthening.pdf

Activity 2: Strategic Engagement Framework with the Fund

The Focal Point (and later the NDA) will develop a country programme by undertaking a number of activities, including:

1. Identifying the government's mitigation and adaptation priorities in accordance with its strategic policy documents, other sectoral programmes and the Fund's *Initial Results Management Framework*;
2. Identifying a list of programmes and projects, including public-private partnerships, consistent with the Fund's *Initial Investment Framework*;

3. Organising trainings, workshops and consultations with relevant non-state actors from the civil society (including reps of indigenous people), academia and private sector (including micro, small, medium enterprises) on GCF-related issues
4. Identifying opportunities to engage the private sector, including micro, small and medium-sized enterprises, and to leverage their capacity to implement programming priorities;
5. Identifying the roles of prospective public and private sector entities that may be accredited by the Fund in implementing Congo's programming priorities with respect to the Fund;
6. Identifying how the GCF can build on the ongoing work of other development partners in the country, and deliver its support in a manner complementary to the efforts of other partners; and
7. Organizing a stakeholder consultation processes for the development of the country programme.

The Focal Point (and the NDA once nominated) may add other elements of the country program and will also undertake stakeholder consultations in a manner that is consistent with the Fund's resource envelope and scope of work defined in the Standardised Package for Country Strategic Frameworks, available at: http://www.gcfund.org/fileadmin/00_customer/documents/Operations/Scope_of_Work_Package_2_Country_Programme.pdf

B.2 Expected results

Activity 1: The capacities of the Focal Point (and the NDA, once established) are built to effectively fulfill its roles and responsibilities in relation to the Fund. Also, the relevant stakeholders are aware of Fund's opportunities and procedures and able to propose projects.

Activity 2: Development, through a stakeholder engagement process, of a country programme to include elements provided in the Fund's *Initial general guidelines for country programmes*, which will include programming priorities and programme/project concepts consistent with the Fund's *Initial results management framework* and *Initial investment framework*

More details are found in the logical framework, at annex 1.

SECTION C: BUDGET

A detailed budget will be provided with the inception report. Based on the guidance from the standardised package for activities 1 and 2, the following high-level, indicative budget breakdown is envisaged:

Activity 1 & 2 combined: US\$ 300,000

Consultants' fees:	US\$125,000
Consultants' travel and per diems:	US\$ 35,000
Workshops (venue, catering, travel, per diem, materials, etc.):	US\$ 95,000
Other costs (including audit costs):.....	US\$13,500
Contingency:.....	US\$ 13,500
Project Management costs (UNDP).....	US\$ 18,000

SECTION D: IMPLEMENTATION PLAN

D.1 Implementation arrangements

The Ministry of Tourism and Environment will set up a management unit that will have oversight of the technical aspects in implementing the programme. The management Unit will include staff from, Ministry of Finance, Ministry of Tourism and Environment and from the Ministry in charge of Sustainable development and forestry, with the National Climate Change Committee serving as its steering committee.

UNDP will serve as the delivery partner and will work under the leadership and guidance of the Ministry of Tourism and Environment. UNDP will hire the consultants and procure other services to deliver Activities 1 and 2. The detailed implementation arrangements including the procurement plan and a detailed implementation plan will be further elaborated in the inception document.

D.2	Disbursement and implementation schedule
<p>The funding will be transferred to UNDP, in three tranches:</p> <p>The first disbursement, which amounts US\$ 120,000, will be transferred upon the submission of an inception document from the Focal Point to the Fund (and eventually NDA), in form and substance acceptable to the Fund, which includes detailed implementation plan, procurement plan and budget;</p> <p>The second tranche of US\$ 130,000 will be transferred upon submission of an interim progress report and audited financial report, in form and substance acceptable to the Fund, including an audited expenditure statement; and</p> <p>The final disbursement of \$50,000 will be made upon submission of a completion report and financial report, in form and substance acceptable to the Fund, including an audited expenditure statement.</p>	
D.3	Procurement plan
<p>UNDP, under the guidance of the Ministry of Tourism and Environment, will competitively procure services consistent with its procurement rules and regulations.</p> <p>The terms of reference (ToR) of consultants will be developed under the guidance of the Focal Point (and later the NDA once nominated) to include the tasks outlined in the request summary above. Items such as venues and travel for stakeholder workshops to be organised will also be procured consistent with UNDP procurement rules and regulations.</p> <p>The consultants' ToR, information on the procurement process to be undertaken will be included in the inception document where such information can be provided in advance. The process and the results will be included in the interim progress and completion reports once such procurement has been undertaken and completed.</p>	
SECTION E: MONITORING & REPORTING PLAN	
<p>The reports to be submitted will be as per what is included in section D.2, and will include reporting against the logical framework included in annex 1.</p>	
SECTION F: RISK & MITIGATION MEASURES	

The main risks and their mitigation or elimination are:

- Risks related to the misunderstanding of the working documents

For this, we will assess the potential training needs of the management team before the effective start of tasks.

- Risks related to human death or illness of an important resource of the management team

To overcome this possibility must be provided to people who have the same skills as project resources and could possibly replace in case of prolonged absence.



Annex I. Logical Framework

	PROJECT SUMMARY	INDICATORS	BASELINES
OUTCOMES	1. The Focal Point's (and later NDA) capacity to undertake Fund-related responsibilities and engage national stakeholders strengthened	1.1 Capacity to coordinate across stakeholders and facilitate effective consultation and communication 1.2 Knowledge on climate and finance priorities strengthened 1.3 System for reviewing proposals and issuing recommendations (or no-objections) established 1.4 Capacity and systems to monitor, evaluate and report on the activities of the Fund and other relevant finance mechanisms and institutions established 1.5 Information, including in local languages, on procedures of the Fund to disseminated to country stakeholders	
	2. Strategic framework for engagement with the Fund developed	2.1 Engagement of private sector, civil society, government and local government stakeholders on priorities for engagement with the fund 2.2 Priorities for project and concepts to be developed for accessing the fund 2.3 Country programming document developed and published in adherence with the initial guidance from the Fund	
OUTPUTS	1.1 A process for supporting coordination across stakeholders and facilitating engagement	1.1.1 Write up of the coordination process, and convening schedule	



	<p>(including periodic meetings / workshops)</p> <p>1.2 Annual report on activities of the Fund and other relevant funding mechanisms and institutions in the country</p> <p>1.3 Information materials on the operational procedures of the Fund in local languages (where relevant) and distribution lists of recipients</p>	<p>1.1.2 Meeting minutes and participant lists documenting engagement of at least groups</p> <p>1.2.1 Electronic copy of the annual report, and details of public dissemination channels</p> <p>1.3.1 Links to electronic copies of presentations, information materials, climate and development information disseminated to distribution lists where relevant.</p> <p>1.3.2 At least xx information materials on the fund developed and disseminated to at least xx people</p>	
	<p>2.1 Country programme, including elements provided in the Fund's Initial Guidelines for Country Programmes</p> <p>2.2 Summaries of meetings of multi-stakeholder engagement, including list of participants</p>	<p>2.1.1 Copy of country programme, completed using the initial guidance on country programmes prepared by the GCF secretariat</p> <p>2.1.2 Background documentation on the programme, and links to public dissemination channels</p> <p>2.2.1 Agendas and summaries from workshops convened as part of the country programme process</p> <p>2.2.2 Short note on lessons learned from country programming, and good practices in stakeholder engagement</p>	

ANNEX II: REVISED COUNTRY READINESS LOGICAL FRAMEWORK – Republic of Congo*

OUTCOMES ¹	BASELINE	TARGET	ACTIVITIES
1. Country capacity strengthened			
<i>1.1 NDA/focal point lead effective coordination mechanism</i>	×0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 ×2	<ul style="list-style-type: none"> - Raise awareness about the GCF and identify an appropriate institutional arrangement that can facilitate collaboration between the country and the Fund; - Build the capacity of the FP/NDA to engage stakeholders and organizing multi-party consultations
<i>1.2 No objection procedure established and implemented</i>	×0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 ×2	<ul style="list-style-type: none"> - Strengthen the knowledge and capacities of the FP/NDA coordination team to fulfil its roles and responsibilities - Define and operationalize a no-objection procedure specific to the Fund
<i>1.3 Bilateral agreements between the country and the GCF executed</i>	×0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 ×2	<ul style="list-style-type: none"> - Identify the appropriate institutional structure that will support collaboration between the Government and the GCF and facilitate nomination of the NDA
<i>1.4 Monitoring, oversight and streamlining of climate finance</i>	×0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 ×2	<ul style="list-style-type: none"> - Coordinate and liaise with other ministries, relevant departments and agencies on key issues relating to the GCF
2. Stakeholders engaged in consultative processes			
<i>2.1 Stakeholders engaged in consultative processes</i>	<input type="checkbox"/> 0 ×1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 ×2	<ul style="list-style-type: none"> - Organizing a stakeholder consultation process for the development of the country programme
<i>2.2 Country programmes, including adaptation priorities, developed and continuously updated</i>	<input type="checkbox"/> 0 ×1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 ×2	<ul style="list-style-type: none"> - Identify adaptation and mitigation priorities of the Government and stakeholders, including projects and/or priorities which could be financed by the Fund; or Identifying the government's mitigation and adaptation priorities in accordance with its strategic policy documents, other sectoral programmes and the Funds Initial Results Management Framework - Identifying a list of programmes and projects, including public-private partnerships, consistent with the Fund's initial investment framework
<i>2.3 Stakeholder consultations conducted with equal representation of women</i>	×0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 ×1 <input type="checkbox"/> 2	<ul style="list-style-type: none"> - Organizing trainings, workshops, and consultations with relevant non-state actors from the civil society (including reps of indigenous people), academia and private sector (including micro, small, medium enterprises) on GCF issues, including for the identification of national or regional entity positioned for accreditation by the Fund
<i>2.4 Annual participatory review of GCF portfolio in the country organized</i>	×0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 ×2	<ul style="list-style-type: none"> - Identify how the GCF can build on the ongoing work of other development partners in the country and deliver its support in a manner complementary to the efforts of other partners
3. Direct access realized	0-8	0-8	
<i>3.1 Candidate entities identified and nominated for accreditation</i>	×0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 ×2	
<i>3.2 Direct access entity accredited</i>	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
<i>3.3 Entity/ies annual/multi-annual work programme developed</i>	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
<i>3.4 Funding proposals through</i>	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	

¹ Based on decisions: B.08/10, annexes XII, XIII & XIV; B.08/11; B.11/10, annex I; B.12/20, annex I

<i>enhanced direct access modality approved</i>			
4. Access to finance	0-8	0-8	
<i>4.1 Structured dialogue between the NDA/Accredited Entities and the GCF Secretariat organized</i>	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	- Identify relevant regional and/or international bodies already accredited by the Fund that can support the country to develop a priority project for submission to the Fund by the end of the year;
<i>4.2 Country programmes, concept notes, including on adaptation, developed that implement high-impact priorities identified in INDCs and other national strategies or plans</i>	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
<i>4.3 Project/programme preparation support, including for adaptation, to develop funding proposals provided</i>	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
<i>4.4 Funding proposals, including for adaptation, that are aligned with country priorities approved</i>	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
5. Private sector mobilization	0-8	0-8	
<i>5.1 Private sector engaged in country consultative processes</i>	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	- Identifying the roles of prospective public and private sector entities that may be accredited by the Fund in implementing Congo's programming priorities with respect to the Fund
<i>5.2 Enabling environment for crowding-in private sector investments at national, regional and international levels exists</i>	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	- Identifying opportunities to engage with the private sector, including micro, small, medium enterprises and to leverage their capacity to implement programming priorities
<i>5.3 Funding proposals for private sector projects/programmes, including for adaptation, approved</i>	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
<i>5.4 Funding proposals under the Private Sector Facility programmes (small- and medium-sized enterprises and mobilizing funds at scale) submitted and approved</i>	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	

* Per the revised GCF "Readiness and Preparatory Support" template (version 19 August, 2016). Outcomes based on decisions B.08/10, annexes XII, XIII & XIV; B.08/11; B.11/10, annex I; B.12/20, annex I.

The original proposal approved by the Green Climate Fund was revised on September 2016, upon consultations with the office of *Direction des Etudes et de la Planification (DEP)* of *Ministere du Tourisme et de l'Environnement* to fit the revised GCF readiness outcomes, above. The above logic framework responds to the Republic of Congo climate finance readiness needs and gaps.

Annex III: Total Budget and Work Plan

Total Budget and Work Plan	
Project Title	GCF Readiness and Preparatory Support – Republic of Congo
PIMS ID	5986
BU	COG10
Award ID	00045154
Project ID	00086986
Implementing Partner	UNDP République du Congo

GCF Outcome / Atlas activity	Responsible Party	Fund ID	Donor ID	Atlas budgetary account code	Atlas Budget Description	6 months	12 months	18 months	Amount US \$	See budget note: *
GCF Outcome 1: Country capacity strengthened	UNDP - République du Congo	66001	12526	71200	International Consultants	3,000	-	-	3,000	a
				71300	Local Consultants	7,400		-	7,400	b
				71600	Travel	3,600			3,600	c
				72500	Supplies	4,100	3,500	600	8,200	d
				74500	Miscellaneous Expenses		5,000		5,000	e
				75700	Training, Workshops and Confer	46,100	42,100		88,200	f
					Total Outcome 1	64,200	50,600	600	115,400	
GCF Outcome 2: Stakeholders engaged in consultative processes	UNDP - République du Congo	66001	12526	71200	International Consultants		30,000	10,000	40,000	g
				71300	Local Consultants		12,000		12,000	h
				71600	Travel		18,000	10,000	28,000	i
				72500	Supplies		5,000		5,000	j
				74500	Miscellaneous Expenses		5,000		5,000	k
				75700	Training, Workshops and Confer	6,500	6,500	19,600	32,600	l
					Total Outcome 2	6,500	76,500	39,600	122,600	
GCF Outcome 4:	UNDP - République du	66001	12526	71200	International Consultants	-		-	-	
				71300	Local Consultants	-	2,000	-	2,000	m

Access to finance	Congo			71600	Travel	-	-	-	-	
				72500	Supplies	-	-	-	-	
				74500	Miscellaneous Expenses		2,987	-	2,987	n
				75700	Training, Workshops and Confer	4,740	3,000	3,000	10,740	o
					Total Outcome 4	4,740	7,987	3,000	15,727	
GCF Outcome 5: Private sector mobilization	UNDP - République du Congo	66001	12526	71200	International Consultants	-	-	-	-	
				71300	Local Consultants	-	5,000	5,000	10,000	p
				71600	Travel	-	-	-	-	
				72500	Supplies			-	-	
				74500	Miscellaneous Expenses			-	-	
				75700	Training, Workshops and Confer		9,000	-	9,000	q
					Total Outcome 5	-	14,000	5,000	19,000	
Project Management Costs	UNDP - République du Congo	66001	12526	71200	International Consultants	-	-	-	-	
				71300	Local Consultants	-	-	-	-	
				71600	Travel	-	-	-	-	
				74100	Professional Services	-	3,000	-	3,000	r
				74598	Direct Project Costs - GOE	9,091	6,091	9,091	24,273	s
				75700	Training, Workshops and Confer				-	
					Total Project Management Costs	9,091	9,091	9,091	27,273	
	Project Total	84,531	158,178	57,291	300,000					

***Budget Notes:**

a	Consultancy (1 international consultant), 7 (6) business days works (3 days of preparation + 3 days of workshop) USD 500 x 6 days = USD 3000
b	Consultant (1 national, 30 business days at USD 200 = 6,000) + Consultancy (1 national consultant: 7 business days works at USD 200/days = USD 1400) = 7,400
c	Travel (air ticket for the international consultant) = USD 3000 and DSA (3 days in Congo) = USD 200 x 3 days= USD 600
d	3 laptops for the coordinator and 2 assistants = USD 800 x 3 = 2,400 +18-month internet access (USD 100/month) = \$1,800 + drafting and printing of communication tools (gadgets, flyers, etc) = 4,000
e	Miscellaneous expenses-contingency related to Outcome 1
f	Costs for 4 thematic consultation workshops for coordination (inter-governmental and local authorities) + cost of 3 training workshops (selected government officials)
g	1 international consultant, 10 business days (USD 500 x 10 = USD 5000), * 2 international consultants, one for each priority sector (energy/waste, agriculture/"others"), 30 business days

	per consultant (USD 500 x 2 x 30 days = USD 30 000), * 1 international consultant, 10 business days (USD 500 x 10 = USD 5000)
h	2 national consultant to support 2 international consultants, 30 business days per consultant (USD 200 x 2 x30 = USD 12000)
j	Travel (air ticket for the international consultant = USD 3000)and DSA (10 days in Congo = USD 200 x10 = USD 2000), * Travel (air ticket for the international consultant = USD 3000 x 2 = USD 6000) and DSA (30 days in Congo = USD 200 x 30 x 2 = USD 12000), * Travel (air ticket for the international consultant = USD 3000)and DSA (10 days in Congo = USD 200 x10 = USD 2000)
i	Print-outs of toolkits and knowledge papers
k	Miscellaneous expenses-contingency related to Outcome 2
l	3 consultation workshops = \$14,800, * Coordination meetings (15 participants), every quarter (USD 300 X 6= USD 1,800), * CP validation workshop (100 participants, 2 days) = USD 16,000
m	National consultant to facilitate structured dialogues between NDA, accredited entities and GCF
n	Miscellaneous expenses/ contingency related to Outcome 4
o	1 structured dialogue for NDA, AE's and GCF Representative
p	1 national consultant to facilitate engagement of private sector and identification of public-private partnerships
q	1 workshop (70 participants, 1 day, 2 coffee breaks/day, 1 lunch/day), 1 workshop (30 participants, 1 day, 2 coffee breaks/day, 1 lunch/day)
r	Professional services for audit
s	Direct project management and implementation services